

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

Mo-5766/LeA 32,756

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

To **09/582141**

INTERNATIONAL APPLICATION NO.  
PCT/EP98/08073

INTERNATIONAL FILING DATE  
December 10, 1998

PRIORITY DATE CLAIMED  
December 23, 1997

TITLE OF INVENTION

**IMPROVED DOUBLE-METAL CYANIDE CATALYSTS FOR THE ...**

APPLICANT(S) FOR DO/EO/US  
Jorg Hofmann et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information: **Abstract; Form PTO 1449 w/references**

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5))**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS** PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(c)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	8 -20 =		X \$18.00
Independent claims	1 -3 =		X \$78.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$260.00

**TOTAL OF ABOVE CALCULATIONS =**

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

**SUBTOTAL =**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

**TOTAL FEES ENCLOSED =**Amount to be:  
refunded \$

charged \$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 13-3848 in the amount of \$ 880.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-3848. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

Bayer Corporation  
Patent Department  
100 Bayer Road  
Pittsburgh, PA 15205-9741



00157

PATENT TRADEMARK OFFICE

SIGNATURE

Carolyn M. Sloane

NAME

44,339

REGISTRATION NUMBER

TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE

Date	June 21, 2000
International Application No.	PCT/EP98/08073
Attorney Docket No.	Mo-5766/LeA 32,756

## I. Certification under 37 CFR 1.10 (if applicable)

09/582141

EK983331625US
Express Mail mailing number

June 21, 2000
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of person mailing correspondence

Donna J. Veatch
Typed or printed name of person mailing correspondence

II. ☒ New International Application

TITLE	IMPROVED DOUBLE-METAL CYANIDE CATALYSTS FOR THE PRODUCTION OF POLYETHER POLYOLS
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Earliest priority date (Day/Mon/Year)
(23/12/97)

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☒ The invention disclosed was not made in the United States.
- B. ☒ There is no prior U.S. application relating to this invention.
- C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.		filed on	
application no.		filed on	

- D. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages  and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

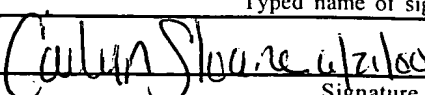
Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

- V. ☒ Other (please specify): Preliminary Amendment w/Abstract  
Form PTO 1449 w/references

The person  
signing this  
form is the:

<input type="checkbox"/> Applicant	Carolyn M. Sloane
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 44,339	Typed name of signer
<input type="checkbox"/> Common Representative	 Signature



**POST OFFICE TO ADDRESSEE**

ORIGIN (POSTAL USE ONLY)				DELIVERY (POSTAL USE ONLY)			
PO ZIP Code	Day of Delivery	Flat Rate Envelope	Delivery Attempt	Time	Employee Signature		
11111	<input checked="" type="checkbox"/> Next	Postage	Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM			
11111	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	\$ 1.50	Delivery Attempt	Time	Employee Signature		
11111	Military	Return Receipt Fee	Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM			
11111	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	CO Fee	Signature of Addressee or Agent	Time			
11111	Int'l Alpha Country Code	Total Postage & Fees	X				
11111	Acceptance Clerk Initials	\$ 1.50	Name - Please Print				
11111	<input type="checkbox"/> Registered <input type="checkbox"/> Holiday		X				

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<p><b>NO DELIVERY:</b> <input type="checkbox"/> Withdrawn <input type="checkbox"/> Invalid</p>			

FROM: (PLEASE PRINT)		TO: (PLEASE PRINT)	
<p><b>USPS MAIL CENTER</b>  <b>412-277-2349</b>  <b>JUN 21 2000</b>  <b>USPS MAIL CENTER</b></p>		<p><b>Assistant Commissioner for Patents</b>  <b>Box DC</b>  <b>Washington, D.C. 20231</b></p>	

**BEST AVAILABLE COPY**

Label 11-B September 1999